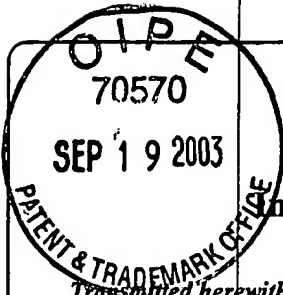




091903



IBM Docket No. RSW920030197US1

In the United States Patent and Trademark Office Patent Application Transmittal

Transmitted herewith for filing is the Patent Application of:

Inventors(s): James M. Mathewson II and Marcia L. Stockton

For: Using Radio Frequency Identification with Transaction Receipts to Detect and/or Prevent Theft and Shoplifting

Assignee Name: International Business Machines Corporation

Assignee Residence: Armonk, New York

Enclosed are

26 pages of specification, including 30 claims, plus 11 sheets of formal drawings.

X An assignment to International Business Machines Corporation, Armonk, New York 10504.

A certified copy of a/an application.

X Declaration and Power of Attorney. Executed by Inventors

X PTO-1449 & references

X A return post card

Other:

Filing Fee Calculation (For Other Than Small Entity)

Basic Fee:							\$750.00
Claims Fees:		Filed	Limit	Extra		Rate per Extra	
Total claims:		30	20	10		\$18.00	\$180.00
Independent claims:		6	3	3		\$84.00	\$252.00
	Multiple Dependent Claim Presented					\$280.00	\$0.00
Total							\$1,182.00

Please charge the Deposit Account identified below for the Total set forth above. The Commissioner is authorized to charge payment of any additional filing fees required under 37 CFR §1.16 and any patent application processing fees under 37 CFR §1.17 or to credit any overpayment to the identified account. A duplicate copy of this sheet is enclosed.

Express Mail Certificate

Mail Label Number: ER 055596715 US

Date: September 19, 2003

I hereby certify that I am depositing the papers identified above with the U.S. Postal Service "Express Mail Post Office to Address" service on the above date, addressed to Mail Stop Patent Application; Commissioner for Patents, P.O. Box 1450; Alexandria, VA 22313-1450

Catherine M. Robbins

Catherine M. Robbins

BY:

A. Bruce Clay

A. Bruce Clay

Attorney of Record Reg. No. 32,121

Date: 09/19/2003

Customer Number: 25259

Deposit Account Number: 09-0461

Telephone: 919-254-6717

Facsimile: 919-254-4330

BEST AVAILABLE COPY

730033 U.S. PTO
10/666700



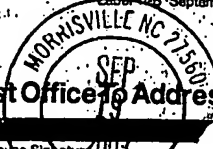
091903



ER 055596715 US



Customer Copy
Sent 18 September 2002



Post Office Address See

ORIGIN (POSTAL USE ONLY)

PO ZIP Code: 2700 Day of Delivery: ☒ First ☐ Second ☐ Flat Rate Envelope: ☐

Date In: 9/19/03 Postage: \$13.65

Mo.: 9 Day: 19 Year: 03 12 Noon: ☐ 3 PM: ☐ Return Receipt Fee: ☐

Time In: ☒ AM ☐ PM Military: ☐ 1st Day: ☐ 2nd Day: ☐ 3rd Day: ☐

Weight: 15.0 lbs. ozs. Int'l Alpha Country Code: COD Fee: \$ Insurance Fee: \$

No Delivery: ☐ Weekend ☐ Holiday ☐ Acceptance Clerk Initials: VS Total Postage & Fees: \$13.65

DELIVERY (POSTAL USE ONLY)

Delivery Attempt: 1 Time: 11:45 Employee Signature: 2003

Mo.: 9 Day: 19 Year: 03 12 Noon: ☐ 3 PM: ☐ Return Receipt Fee: ☐

Time In: ☐ AM ☐ PM Military: ☐ 1st Day: ☐ 2nd Day: ☐ 3rd Day: ☐

Weight: 15.0 lbs. ozs. Int'l Alpha Country Code: COD Fee: \$ Insurance Fee: \$

No Delivery: ☐ Weekend ☐ Holiday ☐ Acceptance Clerk Initials: VS Total Postage & Fees: \$13.65

FROM: (PLEASE PRINT) PHONE: 919 254-6717

RSW920030197US1

A. Bruce Clay
IBM Corp.
T81/503
3039 Cornwallis Road
Research Triangle Park, NC 27709

CUSTOMER USE ONLY

PAYMENT BY ACCOUNT: Express Mail Corporate Acct. No.: 277010

Federal Agency Acct. No. or Postal Service Acct. No.:

☐ NO DELIVERY: ☐ Weekend ☐ Holiday ☐

Customer Signature:

TO: (PLEASE PRINT) PHONE:

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

ZIP + 4:

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.com

PRESS HARD. You are making 3 copies.

OCT 10 2003

PLEASE ACKNOWLEDGE

CERTIFICATE OF EXPRESS MAIL NO. ER055596715US

DATE MAILED 09-19-2003 DATE DUE:

- ☒ APPLICATION
- ☒ DECLARATION
- ☒ ASSIGNMENT & PTO 1559
- ☒ DRAWINGS formal (ii)
- ☐ MISSING PARTS
- ☐ OTHER
- ☒ AMEND. & TRANS. FORM
- ☒ IDS & FORM PTO 1449
- ☐ PET. FOR EXT. OF TIME
- ☐ ISSUE FEE
- ☒ CERT. OF MAILING

DOCKET: RSW920030197US1 SER. NO.

INTL FILING DATE: INTL. APPLN. NO.

IN RE APPLICATION OF: J.M. Mathewson II, et al

TITLE OF APPLICATION: Using Radio Frequency ...

PGS. OF SPEC: 26 NO. OF CLAIMS: 30 SHTS OF DWGS: 11

TOTAL CHARGES: 1222.00 DEPOSIT ACCT. NO. 09-0461

ATTORNEY: ABC

BEST AVAILABLE COPY

10/666700
091903